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CAPLUS Abstract No. 1990-578281 & JP 020078613 A  
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(54) Abstract Title

**Compositions for treating gastric and oesophageal complaints**

(57) Formulations for the treatment of gastro-oesophageal reflux disease which include a carrier vehicle and a gastroprotective agent. The carrier vehicle is either capable of forming a floating barrier layer on contact with gastric acid, or of forming a bioadhesive film before contact with gastric acid occurs, so as to protect gastric mucosa from irritation by the gastric acid.

The gastroprotective agents include capsaicin, zingerone curcumin, piperine, resiniferatoxin or crude extracts of capsicum, black pepper, cayenne pepper, paprika, mace, mustard, ginger turmeric or papaya seeds.

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Improvements in or Relating to Organic Compositions

The present invention relates to pharmaceutical compositions suitable for oral delivery and, in particular, to pharmaceutical compositions for the treatment of reflux oesophagitis, gastritis, dyspepsia or peptic ulceration, or for use as sustained release or targeted delivery compositions.

Reflux oesophagitis occurs when small amounts of gastric juices, food and/or bile acids pass into the lower part of the oesophagus and cause oesophageal inflammation (oesophagitis) accompanied by pain which may manifest itself in the form of heartburn.

One approach to the problem of reflux oesophagitis has been to administer a preparation which, on contact with gastric acid, generates a carbonated gelatinous foam or raft which floats on the stomach contents. When gastric reflux occurs, this raft precedes the stomach contents into the oesophagus, thus protecting the mucosa from further irritation.

Known preparations of this type include solid preparations in the form of powder or tablets containing alginic acid, sodium bicarbonate and antacid materials; or liquid preparations containing sodium alginate, sodium bicarbonate and calcium carbonate which are marketed under the name of GAVISCON (Registered Trade Mark - Reckitt & Colman Products Ltd). In our British Patent No. 1524740 we describe such preparations, which are in liquid form.

Suppressants of gastric acid secretion are known to increase the healing of gastric ulcers and the effect of capsaicin and/or cimetidine on gastric acid secretion was

therefore investigated in a recent study. It was found that capsaicin protects the gastric mucosa against experimental injury, such as acetic-acid induced gastric ulceration in rats (J.Y. Kang, C.H. Teng and F.C. Chen; 5 Gut 1996, 38, 832).

The capsaicin and/or cimetidine was introduced directly into an ex vivo chamber prepared by surgery in order to establish the efficacy of the treatment. The 10 effect of capsaicin on the healing of such gastric ulcers was compared with the effects of cimetidine (a histamine 2-receptor antagonist) which is widely used for the treatment of peptic ulcers, and with a combination of cimetidine and capsaicin.

15 It was concluded that capsaicin promotes the healing of acetic-acid induced gastric ulcers, but that this effect is blunted by the prior administration of cimetidine. In addition, it appears that capsaicin has 20 no effect on gastric acid secretion.

It has also been established that capsaicin provides mucosal protection in white rabbits against topical injury by noxious agents such as ethanol (B.L. Bass, K.S. 25 Trad, J.W. Harman and F.Z. Hakki; Surgery August 1991, 419). The capsaicin was administered directly to the white rabbits at the gastro-oesophageal junction via cannulae and the blood flow was monitored through catheters positioned in the left ventricle and iliac 30 artery.

It is believed that mucosal protection arises because capsaicin stimulates the chemosensitive afferent neurones of the mucosa and submucosa, thereby causing 35 local release of vasoactive and permeability-altering peptides resulting in increased blood flow. The specific

protective factors associated with augmentation of blood flow remain unknown however.

Further studies have indicated that chilli has a protective effect on acute aspirin-induced gastroduodenal mucosal injury in humans (K.G. Yeoh, J.Y. Kang, I.Yap, R. Guan, C.C. Tan, A. Wee and C.H. Teng; Diseases and Sciences 1995, 40(3), 580).

10 Healthy volunteers took 20g. of chilli powder (containing 9.56mg of capsaicin) orally with 200mls of water, followed by 600mg of aspirin with 200mls of water. The resulting lesions were observed by gastroduodenoscopy both in this group and in a control group.

15 The chilli powder exhibited a gastroprotective effect against aspirin-induced injury. The mechanism of action is unclear, but is thought to be due to increased gastric mucosal blood flow.

20 Capsaicin-sensitive nerves contribute to the maintenance of tissue integrity, and also influence healing of acute and chronic lesions. Ablation of capsaicin-sensitive nerves increases the degree of gastric lesions induced by a number of mechanical and chemical stimuli, including NSAIDs, alcohol and acid. In contrast, intragastric administration of a low concentration of capsaicin appears to protect the gastric mucosa against these agents and aids lesion-healing.

30 The efficacy of capsaicin has been explained by the local release of neuropeptides from afferent nerve endings, such as Substance P, CGRP and somatostatin, which in turn acts to increase local blood flow. In

vivo, capsaicin-sensitive neurones are suggested to be stimulated by the reduction in pH presented to the gastric mucosa, which signifies an injurious situation. Similarly, stimulation of capsaicin-sensitive neurones by both acid and capsaicin in rats has been shown to increase duodenal bicarbonate secretion (Inada and Satoh). Thus, capsaicin may act to support natural defensive pathways both prophylactically, and in the face of injury.

10

However, for the general treatment of gastric disorders in patients the administration of capsaicin by any of the above methods is unsuitable and could not be used as a means of treating the general population. The prior art treatments are particularly unsuitable for use in human patients and do not allow for selective treatment of the affected area using an acceptable dosage form. Indeed, it is probable that patients may be unwilling or unable to consume a large amount of chilli orally in the manner suggested by Yeoh et al.

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The prior art thus does not disclose a generally applicable method of administering capsaicin to patients, nor does it provide a suitable vehicle for the administration of capsaicin to the oesophageal region. Thus, there remains a need for an active agent which can be delivered to the oesophageal region and hence for a suitable vehicle for the active agent. In the case of the present invention, the active agent can be directed to the oesophagus to provide relief from oesophageal inflammation and lesions, such as those sustained during periods of heartburn and indigestion. Alternatively, it

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can function as a prophylactic prior to the addition of agents likely to be harmful to the gastric mucosa, for example aspirin, perhaps after damage due to overindulgence of alcohol.

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Ideally, the active ingredient should be delivered to the site of sensitive neurones located throughout the gastrointestinal tract (including the oesophagus and stomach) which provide a gastroprotective function and which are sensitive to capsaicin and other gastroprotective agents.

According to the present invention, there is provided a pharmaceutical composition for the treatment of gastro-oesophageal reflux disease which comprises a carrier vehicle which is capable of producing a floating barrier layer on contact with gastric acid, or is capable of forming a bioadhesive film which binds to the oesophageal region and at least one active ingredient which is selected from capsaicin ((E)-(N)-[(4-hydroxy-3-methoxyphenyl)-methyl]-8-methyl-6-nonenamide), zingerone (4-(4-hydroxy-3-methoxyphenyl)-2-butanone), curcumin (1,7-bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione), piperine (1-[5-1,3-benzodioxol-5-yl]-1-oxo-2,4-pentadienyl)piperidine), resiniferatoxin (daphnetoxin 6,7-deepoxy-6,7-didehydro-5-deoxy-21-dephenyl-21-(phenylmethyl)-20-(4-hydroxy-3-methoxybenzeneacetate), pharmaceutically acceptable derivatives and salts thereof.

30

For the purposes of the present invention, gastro-oesophageal reflux disease includes reflux oesophagitis,

gastritis, dyspepsia, peptic ulceration and/or Barretts oesophagus.

Thus it will be seen that protection of the  
5 oesophageal region in accordance with the invention by  
delivering the required dosage to the required site can  
be achieved in two ways. The oesophagus can be protected  
by coating on reflux with the carrier vehicle which has  
formed a carbonated raft, mucoadhesive granules or an oil  
10 or wax film, following contact with gastric acid. In  
this case, the formulation is such that a carbonated raft  
or an oily or wax film forms a barrier layer on reaching  
the stomach. The barrier layer floats on the surface of  
the gastric acid and thus contacts the oesophagus before  
15 any gastric acid is able to do so. The oil may also form  
a barrier layer by coating the oesophagus directly as the  
oil passes through the upper gastrointestinal tract  
towards the stomach.

20 Alternatively, the formation of a bioadhesive film  
following ingestion of the formulation and prior to  
contact with gastric acid will serve to protect the  
oesophagus from damage by gastric acid. The bioadhesive  
film is thus formed on the oesophageal mucosa and  
25 prevents contact with acid in the event that gastric  
reflux occurs. Compounds which will form bioadhesive  
films include crosslinked polyacrylic acid, also known as  
carbomer (sold as Carbopol (Registered Trade Mark of  
Goodrich), alginic acid or alginates (such as, for  
30 example sold by Pronova Biopolymer asa), xanthan gum,  
locust bean gum and/or combinations of the above.

Alginate raft-forming products such as Gaviscon (Registered Trade Mark Reckitt & Colman Products Limited) are particularly suitable as a delivery vehicle for the active ingredients of the present invention. This type  
5 of delivery vehicle is good at selectively delivering the active ingredient to the required site, maintaining its concentration there and reducing its spread to surrounding tissues.

10 Preferably, the carrier vehicle includes alginate or pectin, xanthan gum or carrageenan.

In the context of the present invention, the active ingredients include both the crude extracts of and the  
15 specific active ingredients of: capsicum, cayenne pepper, black pepper, paprika, mace, mustard, ginger, turmeric and papaya seed.

The pharmaceutical compositions of the invention may  
20 comprise one or more active ingredients, together with one or more agents capable of producing a carbonated foam or bioadhesive film.

The pharmaceutical compositions of the present  
25 invention may also include one or more pharmaceutically acceptable excipients.

Alginate raft-forming products are particularly suitable as vehicles for the delivery of active  
30 ingredients (i.e. locally-acting ulcer-healing agents) to the oesophagus and stomach. Such active ingredients protect and heal the upper gastrointestinal tract, by



simulating the body's active defence mechanism.  
Additional benefits of this regimen include the anti-  
nausea effect of such a preparation and the feeling of a  
warming sensation on swallowing which would provide the  
5 patient with a psychological boost because the patient  
can feel it working.

Furthermore, conventional treatments employing  
proton pump inhibitors are not recommended for long term  
10 use because it is suspected that the absence of gastric  
acid secretion may cause bacterial growth in the stomach.  
Some workers have even suggested that there is a risk of  
cancer associated with such long term use of proton pump  
inhibitors although this is not proven. The formulation  
15 of the present invention has the additional advantage of  
being considerably cheaper than a proton pump inhibitor.

In contrast, the active ingredients in the  
formulations of the present invention historically have  
20 been widely consumed in the form of herbal extracts.  
Moreover, the mode of action of capsaicin and the other  
active ingredients is such that they complement the  
body's natural defences in a positive way by stimulating  
chemosensitive afferent neurons whereas proton pump  
25 inhibitors have, by their nature, an inhibitory effect.

The applicant has found that the incorporation of  
one or more locally-acting ulcer-healing agents (for  
example, capsaicin, zingerone, piperine etc.) in a  
30 preparation which provides a floating barrier layer such  
as an alginate raft-forming product, or in a polymeric  
agent capable of forming a bioadhesive film, provides a

novel treatment for gastric disorders. Examples of suitable raft-forming products include Gaviscon (Registered Trade Mark), Algicon (Registered Trade Mark), Gastrocoat (Registered Trade Mark), or Magnatol  
5 (Registered Trade Mark).

The active ingredient can thus be given orally as part of an alginate containing product which forms a raft on contact with the stomach acids, whereby the active  
10 ingredient is delivered to the oesophageal mucosa on reflux. Alternatively, an oral composition capable of forming a bioadhesive film on the gastric mucosa may be administered; this may be in the form of a single formulation or in the form of two separate formulations  
15 which form a bioadhesive film in situ after ingestion. In either case, the active ingredient which is contained in the bioadhesive film contacts the mucosa thereby preventing or assisting in preventing the gastric acid from contacting the mucosa and thus protecting the mucosa  
20 from further irritation and providing remedial treatment of any gastric lesions present.

Salts of the active ingredient of the composition of the present invention may also provide the desired  
25 activity. However, the therapeutic activity generally resides in the moiety derived from the active compound of the formulation and the use of salts thereof is of less importance. Ideally, for therapeutic and prophylactic purposes any salt is pharmaceutically acceptable to the  
30 patient. Examples of pharmaceutically acceptable salts include those derived from mineral acids, such as hydrochloric, hydrobromic, phosphoric, metaphosphoric,

nitric and sulphuric acids, and organic acids, such as tartaric, acetic, trifluoroacetic, citric, malic, lactic, fumaric, benzoic, glycolic, gluconic, succinic and methanesulphonic and arylsulphonic, for example p-toluenesulphonic acids, mannuronic acid, guluronic acid, and polyacrylic acid.

Derivatives of the active ingredient according to the present invention may also provide the desired activity. Derivatives are intended to include structurally related compounds including the active moiety of the active ingredient, for example, dihydrocapsaicin.

The present invention also includes within its scope formulations as defined above, including compounds, derivatives and pharmaceutically acceptable salts thereof, for use in therapy, and particularly in the treatment of gastro-oesophageal reflux disease.

20

A further aspect of the present invention provides the use of a composition as defined above in the preparation of a medicament for the treatment of gastric ulcers and/or gastritis (inflammation of the gastric mucosa).

25

Another aspect of the present invention envisages a method of treatment of gastro-oesophageal reflux disease which comprises administration to a patient of a composition as herein before defined.

30

Pharmaceutical formulations adapted for oral administration may be presented as discrete units such as capsules or tablets; powders or granules; solutions or suspensions in aqueous or non-aqueous liquids; edible  
5 foams or whips; or oil-in-water liquid emulsions or water-in-oil liquid emulsions.

When presented in unit dose form the pharmaceutical formulation may contain a predetermined amount of the  
10 active ingredient per unit dose. Such a unit may contain for example from 0.001mg to 10mg capsaicin, preferably from 0.25mg to 2.5mg, depending on the severity of the condition being treated, the nature of the oral formulation and the age, weight and condition of the  
15 patient. The dosage administered may ultimately be at the discretion of an attendant physician or may be within a pre-defined range for self-administration by the patient. However, an effective amount of a compound of the present invention for the treatment of gastro-  
20 oesophageal reflux disease will generally be in the range of from 0.01mg to 40mg per day and more usually will be in the range of from 0.1mg to 10mg per day. This amount may be given in a single dose per day or more usually in a number (such as two, three, four, five or six) of sub-  
25 doses per day such that the total daily dose is the same. Thus, in the case in which an alginate containing product, for example, Gaviscon (RTM), is used as the delivery vehicle and capsaicin is the active ingredient, a typical regimen may involve a dose of 0.025mg to 2.5mg  
30 of capsaicin four times per day. The amount of active ingredient contained in the formulation will, of course, depend on the delivery vehicle and on the particular

active ingredient. Capsaicin is the most effective active ingredient, and in the case of other active ingredients the dosage appropriate may be 10 or 100 times or more greater than those required when capsaicin is  
5 used.

An effective amount of the active ingredient in the case in which the active ingredient is presented as a salt may be determined as a proportion of the effective  
10 amount of the free active ingredient per se.

The formulations may be prepared by any method known in the art of pharmacy, for example by bringing into association the active ingredient with the polymeric  
15 agent(s), and excipient(s) when present.

The following examples illustrate compositions according to the invention.

20 (a) Floating Formulations

In each of Examples 1 to 5 below, the products float on the stomach contents and release slowly into the stomach contents. If reflux occurs, the oesophagus is  
25 then coated with a solution of the active ingredient (capsaicin or resiniferatoxin in the case of Examples 1 to 5) providing a local action for the therapeutic effect.

Example 1 - Chewable Tablet

Capsicum cleoresin qs to give 2.5mg capsaicin per tablet

5	Alginic acid	250mg
	Sodium bicarbonate	85mg
	Calcium carbonate	20mg
	Polyvinylpyrrolidone	50mg
	Mannitol	700mg
10	Flavours & Sweeteners	qs
	Magnesium stearate	30mg

The ingredients are mixed, except the flavours and the magnesium stearate. The mixture is then granulated  
15 by mixing with isopropanol (200mls per kg) and dried at 50°C. The granules are sieved and mixed with magnesium stearate and the flavours and sweeteners are then pressed into tablets.

20 Example 2 - Liquid

Resiniferatoxin - sufficient to give 2.5mg of resiniferatoxin

	Sodium alginate	500mg
25	Sodium bicarbonate	250mg
	Calcium carbonate	150mg
	Carbopol 974P (Registered Trade Mark)	65mg
	Methyl parabens	40mg
	Propyl parabens	6mg
30	Flavours & sweeteners	qs
	Water to	10ml

The resiniferatoxin, alginate, bicarbonate, calcium carbonate, preservatives, flavours and sweeteners are dispersed in 40% of the water. The Carbopol is dispersed in 50% of the water and neutralised with sodium hydroxide to give a solution having pH 7.5. The two aqueous mixtures are combined and mixed until homogenous. Water is then added to make up to a volume of 10mls and the diluted solution is thoroughly mixed to give a homogenous solution

10

Example 3 - Tablet

Capsicum oleoresin fine powder - sufficient to give 2.5mg of capsaicin

15	Hydroxypropyl methyl cellulose	200mg
	Citric acid	50mg
	Sodium bicarbonate	100mg
	Microcrystalline cellulose	100mg
	Magnesium stearate	10mg

20

The powders are mixed together until they have become homogenous and the intimate mixture is compressed into tablets.

25 Example 4 - Capsule

Capsicum oleoresin fine powder - sufficient to give 2.5mg of capsaicin

	Dimethicone 350 oil	250mg
30	Medium chain triglyceride oil	250mg

The ingredients are mixed together and filled into soft gelatin capsules. The oil containing the capsaicin floats on the stomach contents and coats the oesophagus walls on each occasion there is a reflux episode.

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Example 5 - Capsule

Capsicum oleoresin fine powder - sufficient to give 2.5mg of capsaicin

10 Vegetable wax

The capsicum is coated with the wax using a spray congealing/coating method to give wax-coated granules. The granules are filled into a hard gelatin capsule which ruptures in the stomach following ingestion. The wax-coated granules are released and float on the gastric contents, releasing the active ingredient.

Oesophagus/Stomach adhesive coating delivery

20 In each of Examples 6 to 9, the product adheres to the oesophagus and/or stomach lining following oral delivery.

Example 6 - Liquid

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Capsicum oleoresin fine powder - sufficient to give 2.5mg of capsaicin

Carbopol 974P 100mg

Sodium hydroxide 37mg

30 Calcium carbonate 100mg

Sodium bicarbonate 100mg

Flavours, sweeteners, preservatives qs

Water to 10 mls



The Carbopol is dispersed in 80% of the water and neutralised with sodium hydroxide. The remaining ingredients are dispersed in most of the remaining water and mixed with the Carbopol solution. The mixture is made up to volume with water and mixed until homogenous. On ingestion, the liquid sticks to the oesophagus and to the mucus lining the stomach.

10 . Example 7 - Chewable Tablet

	Resiniferatoxin - sufficient to give 2.5mg of resiniferatoxin	
	Carbopol 974P	100mg
15	Microcrystalline cellulose	100mg
	Calcium carbonate	100mg
	Mannitol	460mg
	Xylitol	180mg
	Flavours, sweeteners	qs
20	Magnesium stearate	10mg

The powders, except magnesium stearate, are mixed together and then granulated using water. The granules of the powder mixture are dried in a fluid bed dryer and the dried granules are sieved and mixed with magnesium stearate. The resulting mixture is pressed into tablets.

Example 8 - Tablet

30	Capsicum oleoresin fine powder - sufficient to give 2.5mg of capsaicin	
	Carbopol 974P	100mg
	Microcrystalline cellulose	240mg
	Sodium hydroxide	10mg
35	Croscarmellose sodium	30mg
	Magnesium stearate	3mg

The capsicum, carbopol and Microcrystalline cellulose are mixed together in a high speed mixer granulator. The sodium hydroxide is dissolved in 50mg of water and the resulting solution is added slowly to the above powder mix while blending. The resulting granules are dried in a fluid bed dryer and the dried granules are subsequently passed through a 1000µm screen. The screened dried granules, the croscarmellose sodium and the magnesium stearate are blended together and pressed into tablets.

On administration the tablets disintegrate in the stomach contents to release mucoadhesive granules. The granules slowly release the capsaicin into the stomach contents and, when reflux occurs, the capsaicin is refluxed with the stomach contents to cover the oesophagus.

#### Example 9 - Adhesive Microcapsules

The formulation of this example consists of microcapsules of sodium alginate coated with chitosan chloride.

Capsicum oleoresin fine powder - sufficient to give 2.5mg of capsaicin

Sodium alginate

Chitosan Chloride

Calcium Chloride

Water qs

The sodium alginate is dissolved in sufficient water to give a 2% aqueous solution by weight and the capsicum oleoresin is added to the aqueous solution and stirred until dispersed. The chitosan chloride is dissolved in sufficient water to give 0.3% aqueous solution by weight,

and calcium chloride is dissolved in the same solution to give 300mM solution.

Microcapsules of sodium alginate are formed by  
5 dropping drips of alginate capsaicin solution/suspension  
into the chitosan/calcium chloride solution. The  
capsules are concentrated such that on shaking the bottle  
a 5ml spoonful can be dispensed so that it will contain  
one dose of capsaicin. On ingesting one 5ml spoonful the  
10 capsules adhere to the oesophagus and stomach mucus  
lining to provide a slow releasing source of capsaicin  
for treating gastro-oesophageal reflux disease.

CLAIMS

1. A pharmaceutical composition for the treatment of gastro-oesophageal reflux disease which comprises a carrier vehicle which is capable of producing a floating barrier layer on contact with gastric acid, or is capable of forming a bioadhesive film which binds to the oesophageal region and at least one active ingredient which is selected from capsaicin (E)-(N)-[(4-hydroxy-3-methoxyphenyl)-methyl]-8-methyl-6-nonenamide), zingerone (4-(4-hydroxy-3-methoxyphenyl)-2-butanone), curcumin (1,7-bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione), piperine (1-[5-1,3-benzodioxol-5-yl]-1-oxo-2,4-pentadienyl)piperidine), resiniferatoxin (daphnetoxin 6,7-deepoxy-6,7-didehydro-5-deoxy-21-dephenyl-21-(phenylmethyl)-20-(4-hydroxy-3-methoxybenzeneacetate), pharmaceutically acceptable derivatives and salts thereof.
2. A composition as claimed in claim 1, wherein the carrier vehicle forms a carbonated raft, mucoadhesive granules, an oily dispersion, or a wax dispersion on contact with gastric acid.
3. A composition as claimed in claim 1, wherein the carrier vehicle forms a bioadhesive film in the oesophagus prior to contact with gastric acid.
4. A composition as claimed in any one of the preceding claims wherein the carrier vehicle includes alginate.
5. A composition as claimed in any one of claims 1 to 4, for use in therapy.

6. A composition as claimed in any one of claims 1 to 4, for use in the treatment of gastro-oesophageal reflux disease.

5

7. Use of a composition as claimed in any one of claims 1 to 4 in the preparation of a medicament for the treatment of gastric ulcers and/or gastritis.

10

8. A unit dosage form of the composition as claimed in any one of claims 1 to 4, wherein the unit dosage contains from 0.001 to 40mg, preferably 0.025 to 2.5mg of the active ingredient.

15

9. A method of treatment of gastro-oesophageal reflux disease which comprises the administration to a patient of a composition as claimed in any one of claims 1 to 4.



Application No: GB 9912509.8  
Claims searched: 1-8

Examiner: J. P. Bellia  
Date of search: 27 September 1999

**Patents Act 1977**  
**Search Report under Section 17**

**Databases searched:**

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.Q): A5B (BKC)

Int Cl (Ed.6): A61K 9/00

Other: ONLINE: EPODOC, WPI, JAPIO

**Documents considered to be relevant:**

Category	Identity of document and relevant passage	Relevant to claims
X	EP 0 709 098 A1 (CADILA) See Examples especially Example 3	1-3 & 5-8
X	US 5 536 506 (MAJEED <i>et al</i> ) See column 2 line 60 - column 3 line 16 & Examples	1-3 & 5-8
X	US 4 284 657 (STANTON) See Examples I, II & IV	1-3 & 5-8
X	CAPLUS Abstract No. 1990-578281 & JP 020078613 A (TAISHO) See abstract	1-3 & 5-8

X Document indicating lack of novelty or inventive step  
Y Document indicating lack of inventive step if combined with one or more other documents of same category.

& Member of the same patent family

A Document indicating technological background and/or state of the art.  
P Document published on or after the declared priority date but before the filing date of this invention.

E Patent document published on or after, but with priority date earlier than, the filing date of this application.